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PTO/SB/05 (4/96)

Approved for use through 09/30/2000. OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. TIVO0067

First Inventor or Application Identifier Platt

Title Method for Generation, Delivery, and Validation of...

Express Mail Label No. EL540887110US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- |  |   |
|--|---|
| <p>1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages <b>22</b>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <b>4</b>]</p> <p>4. Oath or Declaration [Total Pages <b>2</b>]</p> <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)</li> </ol> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p> | <p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ol> |
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**ACCOMPANYING APPLICATION PARTS**

- |  |   |
|--|---|
| <p>7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>* Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application (PTO/SB/09-12)</p> <p>13. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>14. <input type="checkbox"/> Other: _____</p> | <p>10. <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>* Small Entity Statement(s) <input type="checkbox"/> Status still proper and desired (PTO/SB/09-12)</p> <p>13. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>14. <input type="checkbox"/> Other: _____</p> |
|--|---|

**\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.26).**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_

Prior application information: \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	22862	or <input type="checkbox"/> Correspondence address below	
(Insert Customer No. or Attach bar code label here)			
Name			
Address			
City	State	Zip Code	Fax
Country	Telephone		

Name (Print/Type)	Michael A. Glenn	Registration No. (Attorney/Agent)	30,176
Signature		Date	2/14/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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10/41/20

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# FEE TRANSMITTAL

## for FY 1999

Patent fees are subject to annual revision.  
Small Entity payment fees must be paid by a small entity statement; otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28

**TOTAL AMOUNT OF PAYMENT (\$)** **569.00**

**METHOD OF PAYMENT (check one)**

- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number **50-1240**

Deposit Account Name **TIVO, Inc.**

Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

Payment Enclosed:

Check     Money Order     Other

**FEES CALCULATION**
**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Type	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)	Code (\$)
101	760	201	360	Utility filing fee	<b>355.00</b>
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	206	360	Reissue filing fee	
114	150	214	75	Provisional filing fee	

**SUBTOTAL (1) (\$)** **355.00**

**2. EXTRA CLAIM FEES**

Large Entity	Small Entity	Fee from below	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)
Total Claims <b>26</b>	<b>20**</b>	<b>6</b>	<b>9</b>
Independent <b>6</b>	<b>3**</b>	<b>3</b>	<b>40</b>
Claims <b>20</b>	<b>17</b>	<b>3</b>	<b>120.00</b>
Multiple Dependent			

\*or number previously paid; if greater, For Reissues, see below

Large Entity	Small Entity	Fee from below	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)
103	18	203	9
104	260	204	130
109	78	209	39
110	18	210	9

\*\* Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)** **174.00**

<b>Complete if Known</b>	
Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Platt
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	TIVO0067

**FEES CALCULATION (continued)**
**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	380	216	190
117	870	217	435
118	1,360	218	680
128	1,850	228	925
119	300	219	150
120	300	220	150
121	260	221	130
138	1,510	138	1,510
140	110	240	55
141	1,210	241	605
142	1,210	242	605
143	430	243	215
144	580	244	290
122	130	122	130
123	50	123	50
126	240	126	240
561	40	561	40
146	760	246	380
149	760	249	380

Fee Paid

40.00

Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** **40.00**

**Complete if applicable**

<b>SUBMITTED BY</b>	
Name (Print/Type)	Michael A. Glenn
Registration No. (Attorney/Agent)	30,176
Telephone	650-474-8400
Signature	
Date	2/14/01

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